



FATCA and CRS - Self Certification Form For Individuals

(Please consult your professional tax advisor on your tax residency and related FATCA and CRS guidance)

A. FATCA and CRS Information (Self Certification)

PAN																
Name																
Type of Address (given at KRA)	<input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Residential/Business <input type="checkbox"/> Registered Office <input type="checkbox"/> Unspecified															
Nationality/Citizenship				Gender				Date of Birth	D	D	M	M	Y	Y	Y	Y
Mobile				Place of Birth				Country of Birth								
US Person	<input type="checkbox"/> Yes <input type="checkbox"/> No															
Father's Name																
Spouse's Name																
Identification Document (if PAN not Provided)	<input type="checkbox"/> Passport <input type="checkbox"/> Election ID Card <input type="checkbox"/> Govt. ID Card <input type="checkbox"/> Driving Licence															
	<input type="checkbox"/> UIDAI Card <input type="checkbox"/> NREGA Card <input type="checkbox"/> Others _____															
Identification number of the document provided																

In case you are declaring US person status as 'No' but your Country of Birth is US please provide document evidencing of Citizenship. If not available provide reasons for not having relinquishment certificate.

Please also fill Annexure: Self-Certification.

Are you a tax resident of country other than India? <input type="checkbox"/> Yes <input type="checkbox"/> No				
If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below:				
S. No.	Country of Tax Residency [#]	Tax Payer Identification Number [^]	Identification Type (TIN or other, please specify)	If no TIN available, enter reason A ~ , B ~ or C ~
1.				
2.				
3.				
4.				

[#]To also include USA, where the individual is a citizen/green card holder of USA.

[^]In case Tax Identification Number is not available, kindly provide its functional equivalent.

- ~ Reason A - The country where the Account Holder is liable to pay tax does not issue TINs to its residents.
- ~ Reason B - The Account Holder is otherwise unable to obtain a TIN or equivalent number
- ~ Reason C - No TIN is required. (Only select this reason if the authorities of the country of tax residence entered above do not require the TIN to be disclosed).

In case any of the parameters above indicates that you are a US person or a person resident outside of India for tax purpose and you do not have Taxpayable Identification Numbers/Functional equivalent, Please complete and sign the Annexure: Self-Certification

B. Customer Declaration (Applicable for all customers)

(i) Under penalty of perjury, I/we certify that:

1. The applicant is (i) an applicant taxable as a US person under the laws of the United States of America ("U.S.") or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S., (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof. (This clause is applicable only if the account holder is identified as a US person)
2. The applicant is an applicant taxable as a tax resident under the laws of country outside India. (This clause is applicable only if the account holder is a tax resident outside of India)

(ii) I/We understand that the _____ Pramerica Life Insurance is relying on this information for the purpose of determining the status of the applicant named above in compliance with FATCA/CRS. The _____ Pramerica Life Insurance is not able to offer any tax advice on CRS or FATCA or its impact on the applicant. I/We shall seek advice from professional tax advisor for any tax questions.

(iii) I/We agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.

(iv) I/We agree that as may be required by domestic regulator/tax authorities the _____ Pramerica Life Insurance may also be required to report, reportable details to CBDT or close or suspend my account.

(v) I/We certify that I/We provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct, and complete including the taxpayer identification number of the applicant.

Date:

D	D	M	M	Y	Y	Y	Y
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Name:

Signature

Annexure: Self-Certification

To be filled only if-

a) Name of the country in Part-A is other than India and TIN or functional equivalent is not available. or

b) US person is mentioned as Yes in Part-A, and TIN is not available

I confirm that I am neither a US person nor a resident for Tax purpose in any country other than India, though one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following document as proof of my citizenship and residency in India.

Signature

Document Proof submitted (Please tick document being submitted)

- Passport Election ID Card PAN Card Driving License UIDAI Letter
- NREGA Job Card Govt. Issued ID Card

Terms and Conditions

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income Tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from customers. In relevant cases, information will have to be reported to tax authorities/appointed agencies. Towards compliance, we may also be required to provide information to any institutions.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN or functional equivalent is yet available or has not yet been issued, please provide an explanation as mentioned above in the form.

In case investor has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, investor to provide relevant Curing Documents as mentioned below:

FATCA/CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/CRS Indicia
U.S. place of birth	<ol style="list-style-type: none"> 1. Self-certification that the Account Holder is neither a citizen of United States of America nor a resident for tax purposes; 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND 3. Any one of the following documents: <ol style="list-style-type: none"> a. Certified Copy of 'Certificate of Loss of Nationality' OR b. Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
Residence/ mailing address in a country other than India	<ol style="list-style-type: none"> 1. Self-certification that the Account Holder is neither a citizen of United States of America nor a tax resident of any country other than India; AND 2. Documentary evidence (refer list below)
Telephone number in a country other than India	<p>If no Indian telephone number is provided</p> <ol style="list-style-type: none"> 1. Self-certification that the Account Holder is neither a citizen of United States of America nor a tax resident of any country other than India; AND 2. Documentary evidence (refer list below) <p>If Indian telephone number is provided along with a foreign country telephone number</p> <ol style="list-style-type: none"> 1. Self-certification that the Account Holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR 2. Documentary evidence (refer list below)
Standing instructions to transfer funds to an account maintained in a country other than India (other than depository accounts)	<ol style="list-style-type: none"> 1. Self-certification that the Account Holder is neither a citizen of United States of America nor a tax resident of any country other than India; AND 2. Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorised government body*
2. Valid identification issued by an authorised government body* (e.g. Passport, National Identity Card, etc.)

* Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.

Appendix – Definition

Note: These are selected definitions provided to assist you with the completion of this form. Further details can be found within the Income Tax Rules, 1962 and OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (the CRS), the associated Commentary to the CRS, and domestic guidance. This can be found at the following link (OECD)

If you have any questions then please contact your tax adviser or domestic tax authority.

'Account Holder' The term **'Account Holder'** means the person listed or identified as the holder of a Financial Account by the financial institution that maintains the account. A person, other than a Financial Institution, holding a Financial Account for the benefit of another person as an agent, a custodian, a nominee, a signatory, an investment advisor, an intermediary, or as a legal guardian, is not treated as the Account Holder. In these circumstances that other person (i.e. beneficiary) is the Account Holder. For example in the case of a parent/child relationship where the parent is acting as a legal guardian, the child is regarded as the Account Holder. With respect to a jointly held account, each joint holder is treated as an Account Holder.

'Fund' stands for **Mutual Fund** which means, a trust set-up under the provisions of the Indian Trusts Act, 1882 and registered with SEBI under SEBI (Mutual Funds) Regulations, 1996 and all amendments thereto made from time to time.